

107 Rec'd PCT/PTO 19 DEC 1996

FORM PTO-1390 (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				Bayer 9776-KGB	
INTERNATIONAL APPLICATION NO. PCT/EP95/02358		INTERNATIONAL FILING DATE 19 June 1995 (19.06.95)		PRIORITY DATE CLAIMED 01 July 1994 (01.07.94)	
TITLE OF INVENTION NEW hIL-4 MUTANT PROTEINS USED AS ANTAGONISTS OR PARTIAL AGONISTS OF HUMAN INTERLEUKIN 4					
APPLICANT(S) FOR DO/EO/US Hanno WILD, Rudolf HANKO, Michael DÖRSCHUG, Hans-Dietrich HÖRLEIN, Jürgen BEUNINK, Heiner APELER, Hermann WEHLMANN and Walter SERALD					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
Items 11. to 16. below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>a) Copy of Published Application WO 96/01274 in German</li> <li>b) Copy of Int'l. Search Report (PCT/ISA/210) in English and German</li> <li>c) Copy of PCT Request (PCT/RO/101) in German</li> <li>d) Copy of Int'l. Prel. Examination Report (PCT/IPEA/409) in German</li> <li>e) 1 Sheet of Drawing (Fig. 1)</li> </ol> </li> </ol>					

Attorney Docket No.: Bayer 9776-KGB  
: Le A 30 218-PUS KK/Kla/Bu

660 White Plains Road  
Tarrytown, NY 10591-5144  
914-332-1700

"Express Mail" Mailing Label No. **EI001519891US**

I hereby certify that this paper or fee is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the: The Assistant Commissioner for Patents, Washington, DC 20231, on **December 19, 1996**

SPRUNG HORN KRAMER & WOODS

By *Adele Beriter*

08765042-121996

Le A 30 218-PCT (Foreign Countries)

Novel hIL-4 mutant proteins as antagonists or partial agonists of human interleukin 4

5 The present invention relates to novel hIL-4 mutant proteins, to processes for preparing them, and to their use as medicaments, in particular in association with overshooting, falsely regulated immune reactions and autoimmune diseases.

PCT WO 93/10235 already discloses therapeutic agents which are or which contain antagonists or partial agonists of hIL-4, with the antagonists or partial agonists  
10 being hIL-4 mutant proteins.

Human interleukin 4 (hIL-4) is one of the many cytokines which induce and coordinate the proliferation, maturation, survival and differentiation of lymphoid and myeloid cells. In particular, hIL-4 is involved in the IgE-mediated immune  
15 reaction and directly accelerates the proliferation of thymocytes and activated T cells. A high-affinity IL-4 receptor protein of Mr 140,000 has been identified which, according to its cDNA sequence, consists of 800 amino acid residues. This protein belongs to a recently described group of receptors which are designated the haematopoietin receptor superfamily.

20

Based on the cloned cDNA, the amino acid sequence of the mature IL-4 consists of 129 residues. The cDNA has been expressed in E. coli and yeast. Recombinant IL-4 having high biological activity can be isolated from these sources.

25 Very recently, a monoclonal antibody has been disclosed which exhibits antagonistic properties towards human interleukin 4. This antibody contains a Fab fragment and is produced by a human/human hybridoma cell line. A hybridoma cell line from the spleen cells of a rat which was immunized against (non-)glycosylated human IL-4 also produces monoclonal antibodies against hIL-4.

30

The role of interleukin 4 in allergic processes provides grounds for hoping that substances which inhibit interleukin 4-mediated processes, or compete with hIL-4, might interrupt the disease-triggering reaction chain.

DE 41 37 333 A1 describes hIL-4 mutant proteins in which the amino acid(s) occurring naturally in the wild type at one or more of positions 120, 121, 122, 123, 124, 125, 126, 127 or 128 has/have been replaced with one or more, respectively, of the other possible natural amino acids. These hIL-4 mutant proteins are  
5 antagonists or partial agonists of human IL-4.

The present invention now relates to novel hIL-4 mutant proteins which are antagonists or partial agonists of human interleukin 4 and in which further modifications of the hIL-4 protein have been carried out in addition to the  
10 replacement(s) at positions 121, 124 or 125. These modifications are carried out in order to increase the stability of the hIL-4 mutant proteins, in order to extend the biological half life or in order to facilitate the preparation and purification process.

For this, the amino acids which naturally occur in the wild type are deleted, or  
15 replaced by other amino acids, at one or more positions, or else additional amino acids are inserted, at the C terminus or at the N terminus as well, or else one or more of the amino acids is/are substituted by various non-protein polymers, for example polyethylene glycol and its derivatives, or by glycosyl residues.

20 Within the context of the invention, amino acids are generally

	Ala	L-alanine
	Arg	L-arginine
	Asn	L-asparagine
	Asp	L-aspartic acid
25	Cys	L-cysteine
	Gln	L-glutamine
	Glu	L-glutamic acid
	Gly	L-glycine
	His	L-histidine
30	Ile	L-isoleucine
	Leu	L-leucine
	Lys	L-lysine

Met	L-methionine
Pro	L-proline
Phe	L-phenylalanine
Ser	L-serine
5 Thr	L-threonine
Trp	L-tryptophan
Tyr	L-tyrosine
Val	L-valine,

with it being possible, for simplicity, to omit the configuration designation.

10

Non-protein polymers are understood, for example, as being polyethylene glycol, polypropylene glycol or polyoxyalkylenes, as described in US patents nos. 4.640.835, 4.496.689, 4.301.144, 4.670.417, 4.791.192 or 4.179.337.

15

Glycosylation is understood as being the linking of a carbohydrate skeleton to the side chain of an asparagine residue ("N-glycosylation") or the coupling of a sugar, preferably N-acetylgalactosamine, galactose or xylose to serine, threonine, 4-hydroxyproline or 5-hydroxylysine (O-glycosylation).

20

Preference is given to hIL-4 mutant proteins in which amino acid 124 (tyrosine), amino acid 121 (arginine) and amino acid 125 (serine) are replaced, in any combination, with one of the possible natural amino acids and in which, in addition, the N terminus and/or C terminus of the molecule is/are modified and/or one or more polyethylene glycol molecules is/are covalently bonded to the molecule and/or glycosylation sites which are present in the molecule are partially or completely deleted.

25

Mutins in which amino acid 124 (tyrosine), amino acid 121 (arginine) and amino acid 125 (serine) are replaced, in any combination, with aspartic acid or glutamic acid, and in which, in addition, the N terminus and/or C terminus of the molecule is/are modified, and/or one or more polyethylene glycol molecules is/are covalently bonded to the molecule, and/or glycosylation sites which are present in the

30

molecule are partially or completely deleted, are particularly preferred embodiments from this group.

Preferred embodiments are also those in which amino acids 121 (arginine) and 125 (serine) are replaced with a naturally occurring amino acid, preferably aspartic acid or glutamic acid, and in which, in addition, the N terminus and/or C terminus of the molecule is/are modified, and/or one or more polyethylene glycol molecules is/are covalently bonded to the molecule, and/or glycosylation sites which are present in the molecule are partially or completely deleted.

10

Furthermore, hIL-4 mutant proteins are particularly preferred in which amino acid 124 (tyrosine) is replaced with a naturally occurring amino acid and from 0 to one additional amino acid at positions 121 and/or 125 is replaced with another one of the possible amino acids, and in which, in addition, the N terminus and/or C terminus of the molecule is/are modified, and/or one or more polyethylene glycol molecules is/are covalently bonded to the molecule, and/or glycosylation sites which are present in the molecule are partially or completely deleted.

15

hIL-4 mutant proteins in which amino acid 124 (tyrosine) is replaced with aspartic acid or glutamic acid and position 121 is replaced with another of the possible amino acids, preferably aspartic acid or glutamic acid, and in which, in addition, the N terminus and/or C terminus of the molecule is modified, and/or one or more polyethylene glycol molecules is/are covalently bonded to the molecule, and/or glycosylation sites which are present in the molecule are partially or completely deleted, are particularly preferred from this group.

20

25

The insertion of an amino acid, preferably Ala, Gly, Pro, Ser, Thr or Val, particularly preferably Ala, between the N-terminal methionine and the natural N terminus of the hIL-4 mutant protein are preferred embodiments of the N-terminal modification in the abovementioned examples.

30

Examples of an expressed product of this type are:

08765042-121996

- Ala(-1)-Tyr(124)Asp  
Ala(-1)-Arg(121)Asp-Tyr(124)Asp  
Ala(-1)-Arg(121)Asp-Tyr(124)Asp-Ser(125)Asp  
Ala(-1)-Tyr(124)Asp-Ser(125)Asp  
5 Ala(-1)-Arg(121)Asp-Ser(125)Asp

Preferred embodiments of the deletion of glycosylation sites in the abovementioned  
embodiments are the replacements of asparagine in position 38 with another  
naturally occurring amino acid, preferably aspartic acid, and/or asparagine in  
10 position 105 with another naturally occurring amino acid, preferably aspartic acid.

Examples of expressed products of this type are:

- Asn(38)Asp-Asn(105)Asp-Tyr(124)Asp  
15 Asn(38)Asp-Asn(105)Asp-Arg(121)Asp-Tyr(124)Asp  
Asn(38)Asp-Asn(105)Asp-Arg(121)Asp-Tyr(124)Asp-Ser(125)Asp  
Asn(38)Asp-Asn(105)Asp-Tyr(124)Asp-Ser(125)Asp  
Asn(38)Asp-Asn(105)Asp-Arg(121)Asp-Ser(125)Asp  
20 hIL-4 can be produced as a recombinant protein (rhIL-4) by genetic manipulation,  
for example in *E. coli*. The protein which is formed in this context can be  
solubilized, renatured and isolated. The rhIL-4 then possesses a high specific  
biological activity which can be determined, for example, by measuring the DNA  
synthesis/proliferation of activated T cells or the CD23 expression of activated B  
25 cells [cf. Kruse, N. et al., (1991) FEBS Lett. 286, 58-60; Kikutani, H. et al., (1986)  
Cell 47, 657-665].

With regard to procuring a cDNA which encompasses a DNA region encoding the  
mature region of hIL-4, or which itself encodes the mature region of hIL-4, we  
30 refer to Yokota, T., Otsuka, T., Mosmann, T., Banchereau, J., DeFrance, T.,  
Blanchard, D., De Vries, J.E., Lee, F. and Arai, K.I. (1986) Proc. Natl. Acad. Sci.,  
USA 83, 5894-5898 and the literature cited in this reference. In the present



context, "cDNA which encodes the mature region of hIL-4" is also understood as being cDNAs which, while possessing approximately the same number of base pairs, constitute mutants of the cDNA which is specified in a concrete manner in the state of the art, provided that the hIL-4 muteins which are thereby to be  
5 foreseen are likewise antagonists or partial agonists.

In the present context, Garr. C. et al., Biochemistry 1991, 30, 1515-1523 is followed with regard to numbering the DNA region encoding the mature region of hIL-4.

10

cDNA which encodes the mature region of hIL-4 can be isolated by excising an EcoRV/BamHI fragment from a recombinantly prepared cDNA (e.g. from British Bio-Technology Ltd., Oxford, England). The DNA fragment is integrated, with the addition of synthetic oligonucleotides, for example 5'-CATGCACAAGTGCGAT  
15 and 5'-ATCGCACTTGTG, which contain the first 4 amino acid codons of interleukin 4 and also the codon for the start methionine, into an expression vector, for example between the NcoI and BamHI cleavage sites of the expression vector pR<sup>TS</sup>pRC 109 [cf. Weigel, U., Meyer, M. and Sebald, W. (1989) Eur. J. Biochem. 180, 295-300].

20

Amino acid sequence variants of IL-4 are generated by introducing suitable altered nucleotides into the IL-4-encoding DNA or by the in-vitro synthesis of the desired IL-4 form. Such variants include, for example, deletions or insertions or substitutions of residues within the IL-4 amino acid sequence. In this context, any  
25 combination of deletion, insertion and substitution is admissible for achieving the final construct, provided that the final construct exhibits the desired features. The amino acid changes can also alter the post-translational processing of IL-4: for example, the number or the positions of the glycosylation sites, the membrane-anchoring properties and/or the intracellular localization of IL-4 can be altered by  
30 insertion, deletion or some other influence on the leader sequence of the native IL-4.



When constructing amino acid sequence variants of IL-4, the location of the mutation site, and the nature of the mutation, depend on the property(ies) of IL-4 which is/are to be altered. The mutation sites can be altered individually or in series, for example by (1) substitution, initially with conservatively selected amino acids and then with more radical alternatives depending on the results achieved, (2) deletion of the target residue, or (3) insertion of residues in the vicinity of the localized site.

The "alanine-scanning mutagenesis" described by Cunningham and Wells (Science, 244: 1081-1085, 1989) is a suitable method for identifying particular IL-4 residues or regions as being preferred mutagenesis sites. In this case, a residue or a group of target residues is identified (e.g. charged residues such as Arg, Asp, His, Lys and Glu) and replaced by a neutral or negatively charged amino acid (most advantageously alanine or polyalanine) in order thereby to affect the interaction of the amino acids with the neighbouring aqueous environment inside or outside of the cell. The regions which react to the substitutions in a functionally sensitive manner are then worked up by introducing additional variants, or other variants, at the or for the substitution sites. This means that while the site for introducing an amino acid sequence alteration is predetermined, the nature of the alteration per se does not have to be predetermined.

In order, therefore, to optimize the performance of a mutation at a particular site, the method of Ala scanning or random mutagenesis can be carried out at the target codon or at the target region with the expressed IL-4 variants being tested for the optimum combination with regard to achieving the desired property.

Consequently, there are two main variables, i.e. the site of the mutation and the nature of the mutation, when constructing the amino acid sequence variants.

As a rule, the sizes of the deletions in an amino acid sequence are from about 1 to 30 residues, preferably from about 1 to 10 residues, and are sequential in the normal case. In the normal case, the deletions affect amino acid residues which are

located immediately adjacent to each other.

5 The number of the successive deletions is selected such that the tertiary structure of IL-4, e.g. cysteine crosslinking, beta-pleated sheet structure or alpha helix, is retained in the affected region.

10 The insertions in an amino acid sequence include amino-terminal and/or carboxyl-terminal fusions having a length of from one single residue up to polypeptides having 100 or more residues and also insertions, which are located within a sequence, of individual or several amino acid residues. Insertions which are located within a sequence (i.e. insertions within the IL-4 sequence) can, inter alia, comprise from about 1 to 10 residues, preferably from 1 to 5 and, optimally, from 1 to 3 residues. Examples of terminal insertions are IL-4 having an N-terminal methionyl residue, an artefact of the direct expression of IL-4 in a recombinant bacterial cell culture, the insertion of one or more additional amino acids between methionine and the natural N terminus, for the purpose of facilitating elimination of the methionine, for example by bacterium-specific proteases, and the fusion of an heterologous N-terminal signal sequence to the N terminus of the IL-4 molecule, for the purpose of promoting the secretion of mature IL-4 from the recombinant host cells, and the fusion of polyamino acids, for example polyhistidine, for the purpose of facilitating the isolation of IL-4. As a rule, these signal sequences are selected from the host cell types which are foreseen and are therefore homologous with these types. Examples of suitable sequences are ompA, ompT, phoA, molE, amp or pelB, for E. coli cells, the alpha factor, amylase, invertase, killer toxin and mellitin prepro-peptide, for yeast cells, and viral signals, such as herpes gD, for mammalian cells.

A further preferred signal sequence is the natural signal sequence of interleukin 4.

30 Particular preference is given to those signal sequences which are eliminated by the expression organism itself so that the interleukin 4 mutant protein possesses the natural N terminus.

Expression products which are preferred following elimination of the signal sequence are:

Tyr(124)Asp

5 Arg(121)Asp-Tyr(124)Asp

Arg(121)Asp-Tyr(124)Asp-Ser(125)Asp

Tyr(124)Asp-Ser(125)Asp

Arg(121)Asp-Ser(125)Asp

- 10 The other insertion variants of IL-4 include the fusion of immunogenic polypeptides, for example bacterial polypeptides such as beta-lactamase, or an enzyme encoded by the E. coli trp locus, or a yeast protein, to the N terminus or C terminus of IL-4, and also C-terminal fusions with proteins having a long half life, such as immunoglobulin constant regions (or other immunoglobulin regions),  
15 albumin or ferritin - cf. description in WO 89/02922 (published on 6 April 1989).

A further group of variants are those having an amino acid substitution. In these variants, at least one amino acid residue in the IL-4 molecule is replaced with another residue.

20

The naturally occurring residues are arranged into classes on the basis of side-chain characteristics which they possess in common:

- 1) hydrophobic: Met, Ala, Val, Leu and Ile;
- 25 2) neutral hydrophilic: Cys, Ser and Thr;
- 3) acidic: Asp and Glu;
- 4) basic: Asn, Gln, His, Lys and Arg;
- 5) residues having an effect on chain orientation: Gly and Pro; and
- 6) aromatic: Trp, Tyr and Phe.

30

Non-conservative substitutions involve replacing a representative of one of these classes with that of another.

Amino acid substitutions are used, inter alia, when altering the native glycosylation pattern of the polypeptide. "Altering" means deleting one or more of the carbohydrate skeletons in the native IL-4 and/or adding one or more glycosylation sites which are not present in the native IL-4.

5

Normally, the glycosylation of the polypeptides is either N-linked or O-linked. "N-linked" refers to coupling of the carbohydrate skeleton to the side chain of an asparagine residue. The tri-peptide sequences asparagine-X-serine and asparagine-X-threonine, where X can be any amino acid with the exception of proline, are the  
10 recognition sequences for the enzymic coupling of the carbohydrate skeleton to the asparagine side chain. Consequently, the presence of one of these tri-peptide sequences in a polypeptide creates a potential glycosylation site.

"O-linked" refers to the coupling of one of the sugars N-acetylgalactosamine, galactose or xylose to an hydroxyamino acid, inter alia serine or threonine,  
15 although 4-hydroxyproline or 5-hydroxylysine can also be used.

The addition of glycosylation sites to IL-4 is effected without difficulty by altering the amino acid sequence in such a way that this sequence contains one or more of  
20 the above-described tri-peptide sequences (for N-linked glycosylation sites). The alteration can likewise be effected by adding or substituting one or more serine or threonine residues to the native IL-4 sequence (for O-linked glycosylation sites). With a view to simplifying the procedure, the IL-4 amino acid sequence is preferably changed by means of carrying out alterations at the DNA level, in  
25 particular by mutating the IL-4-encoding DNA at previously selected bases such that codons are produced which are translated into the desired amino acids. In an analogous manner, one or more of the tri-peptide sequences which are present (for N-linked glycosylation) are modified by substituting or deleting the whole or parts of the tri-peptide when deletion of the carbohydrate skeleton is desired. In the case  
30 of O-glycosylation sites, the carbohydrate skeleton can be deleted by substituting or deleting the corresponding amino acid.

The chemical or enzymic coupling of glycosides to the polypeptide represents a further option for increasing the number of carbohydrate skeletons in IL-4. These methods are advantageous insofar as they do not require the polypeptide to be prepared in a host cell which is able to carry out N-linked and O-linked glycosylation. Depending on the coupling mechanism used, the sugar(s) can be linked to (a) arginine and histidine, (b) free carboxyl groups, (c) free sulphydryl groups, such as those of cysteine, (d) free hydroxyl groups, such as those of serine, threonine or hydroxyproline, (e) aromatic residues, such as those of phenylalanine, tyrosine or tryptophan, or (f) the amido group of glutamine. These methods are described in WO 87/05330, which was published on 11 September 1987, and in Aplin and Wriston (CRC Crit. Rev. Biochem., pp. 259-306 [1981]).

In addition to the abovementioned method, chemical or enzymic means can also be used for removing the carbohydrate skeletons which are present in the native IL-4. In the case of chemical deglycosylation, it is necessary to expose the polypeptide to the compound trifluoromethanesulphonic acid or an equivalent compound. While this treatment results in most or all of the sugars being eliminated apart from the linking sugar (N-acetylglucosamine or N-acetylgalactosamine), it leaves the polypeptide intact. Chemical deglycosylation is described by Hakkimuddin et al., Arch. Biochem. Biophys., 259:52 (1987) and by Edge et al., Anal. Biochem., 118:131 (1981). Carbohydrate skeletons in the polypeptides can be eliminated enzymically using a series of endoglycosidases and exoglycosidases, as described by Thotakura et al., (Meth. Enzymol., 138:350 [1987]).

Glycosylation at the potential glycosylation sites can be prevented by using the compound tunicamycin, as described by Duskin et al. (J. Biol. Chem., 257:3105 [1982]). Tunicamycin blocks the formation of protein-N-glycoside linkages.

A further type of covalent modification of IL-4 includes linking IL-4 to different non-protein polymers, for example polyethylene glycol, polypropylene glycol or polyoxyalkylenes, as described in US patents Nos. 4,640,835; 4,496,689; 4,301,144; 4,670,417; 4,791,192 or 4,179,337.

The agent used for the crosslinking, the degree of substitution and the reaction conditions are selected by means of experiments using bifunctional agents preferably using a series of reagents each of which reacts with a different side chain.

5

One option, which is preferably used, for improving the half life of a protein which is circulating in vivo is that of conjugating it to a polymer, which confers a longer half life on it. Thus, for example, the conjugation of polyethylene glycol (PEG) to C1-NH has proved to be an excellent way of increasing the half life.

10 PEG is a non-immunogenic, linear, uncharged polymer possessing three water molecules per molecule of ethylene oxide, so that the hydrodynamic properties of the conjugated molecules can be altered dramatically (Maxfield et al., Polymer, 16:505-509 (1975); Bailey, F.E., et al., in: Nonionic surfactants [Schick, M.J., Ed.] pp. 794-821, 1967). Several enzymes which are used therapeutically have been  
15 linked to PEG with the aim of effectively increasing their in-vivo half life (Abuchowski, A. et al., J. Biol. Chem. 252:3582-3586, 1977; Abuchowski, A. et al., Cancer Biochem. Biophys., 7:175-186, 1984). It is reported that linking IL-2 (interleukin 2) to PEG not only extends its survival time in the circulation but also increases its potency (Katre, N.V. et al., Proc. Natl. Acad. Sci., 84:1487-1491  
20 (1987); Goodson, R. et al., Bio/Technology, 8:343-346, 1990). Linking PEG to other molecules has been reported to decrease their immunogenicity and toxicity (Abuchowski, A. et al., J. Biol. Chem. 252:3578-3581, 1977).

IL-4 can also be included in microcapsules, which are prepared, for example, by  
25 coacervation techniques or by "interfacial polymerization" (e.g. hydroxymethyl-cellulose or gelatine microcapsules and poly-[methyl methacrylate] microcapsules), in colloidal drug-release systems (e.g. liposomes, albumin microspheres, microemulsions, nanoparticles and nanocapsules) or in macroemulsions. Such techniques are mentioned in Remington's Pharmaceutical Sciences, 16th edition,  
30 Osol, A., Ed. (1980).

IL-4 preparations are also suitable for use in isolating antibodies, as standards for

08765042-124996

IL-4 assays (e.g. by labelling IL-4 for its use as a standard in a radio immunoassay, an enzyme-coupled immunoassay or in a radio receptor assay), in affinity purification techniques and in receptor binding assays (of the competitive type) when labelled with radioiodide, enzymes, fluorophores, spin labels, etc.

5

Since it is difficult to predict the properties of an IL-4 variant, it will be understood that a certain screening of the resultant variant is required in order to achieve the optimum variant. Thus, for example, a change in the immunological character of the IL-4 molecule, for example its affinity for a particular antibody, is measured  
10 by a competitive immunoassay. The variant is examined for changes involving the diminution or amplification of its activity as compared with the activity of the native IL-4 which is observed in the same assay. Other potential changes in the protein or polypeptide properties, for example redox, or thermal stability, hydrophobicity, sensitivity towards proteolytic degradation, stability in the  
15 recombinant cell culture or in plasma, or else the tendency to aggregate with carriers or to form multimers, are determined by methods which are state of the art.

95765042-124996



**THERAPEUTIC FORMULATIONS AND ADMINISTRATION OF IL-4**

5 The novel compounds either inhibit interleukin 4-mediated processes or compete with hIL-4. They are therefore suitable for treating overshooting or falsely regulated immune reactions and autoimmune diseases. These also include immune deficiencies of both primary and secondary nature. In addition to this, the antagonist can be employed both in transplantations and in the palliative therapy of tumour diseases. These include, for example:

- allergies  
10 (blocking of the primary response and the IgE-mediated response; desensitizing in the case of known allergies; atopic diseases; alleviation in association with asthma attacks; hyper IgE syndrome).
- Transplantations  
15 (reduction in HLA-DR expression in organ transplantation, suppression of the GVHR, use when purging bone marrow)
- Leukaemias and solid tumours expressing IL-4 receptor  
(reduction of an overshooting autocrine IL-4 production; inhibition of tumour growth)
- Counter-regulation in association with the overproduction of thrombocytes
- 20 - Therapy of coagulation disturbances  
(via monocyte block)
- Use in disturbances of lipid metabolism
- Correction in disturbances of carbohydrate balance
- Improvement of the immune status in infections (sepsis).

25

Due to its good solubility in water, the IL-4 mutant protein can be employed both systemically and locally, i.e. topically, inter alia as an inhalation spray. It is also possible for it to be formulated as a slow-release preparation. A short-term therapy or a continuous therapy is possible in the case of all the therapy forms.

30

Therapeutic formulations of the IL-4 antagonist are prepared for storage by mixing the IL-4 antagonist, after achieving the desired degree of purity, with physiologi-

cally acceptable carriers, auxiliary substances or stabilizers (Remington's Pharmaceutical Sciences, loc. cit.) in the form of a lyophilisate or of aqueous solutions. Acceptable carriers, auxiliary substances or stabilizers are not toxic for the recipient at the dosages and concentrations employed; they include buffers such as phosphate, citrate and other organic acids; antioxidants such as ascorbic acid; low molecular weight polypeptides (less than approximately 10 residues), proteins such as serum albumin, gelatin or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, arginine or lysine; monosaccharides, disaccharides and other carbohydrates, for example glucose, mannose or dextrin; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; salt-forming counter-ions such as sodium and/or non-ionic surface-active substances such as Tween, Pluronic or polyethylene glycol (PEG).

For in-vivo use, an IL-4 antagonist must be sterile. This is readily achieved by filtration through sterile membrane filters, either before or after lyophilization and reconstitution. The IL-4 antagonist is normally stored in lyophilized form or in solution.

Suitable examples of preparations having a delayed release are, for example, semipermeable matrices consisting of solid hydrophobic polymers which contain the protein; these matrices are shaped articles, for example film tablets or microcapsules. Examples of matrices having a delayed release are polyesters, hydrogels [e.g. poly(2-hydroxyethyl methacrylate) - described by Langer et al., J. Biomed. Mater. Res., 15:167-277 [1981] and Langer, Chem. Tech., 12:98-105 [1982] - or poly(vinyl alcohol)], polyactides (US pat. No. 3,773,919, EP 58,481), copolymers of L-glutamic acid and gamma-ethyl-L-glutamate (Sidman et al., Biopolymers, 22:547-556 [1983]), non-degradable ethylene/vinyl acetate (Langer et al., loc. cit.), degradable lactic acid/glycolic acid copolymers such as Lupron Depot<sup>TM</sup> (injectable microspheres consisting of lactic acid/glycolic acid copolymer and leuprolide acetate) and poly-D-(-)-3-hydroxybutyric acid (EP 133,988). While polymers such as ethylene/vinyl acetate and lactic acid/glycolic acid enable the molecules to be released for periods of greater than 100 days, the proteins are

released over relatively short periods of time in the case of some hydrogels. If encapsulated proteins remain in the body over relatively long periods of time, they can then be denatured or aggregated by moisture at 37°C, resulting in a loss of biological activity and possible changes in immunogenicity. Meaningful strategies for stabilizing the proteins can be developed, depending on the mechanism involved. If it is found, for example, that the mechanism which leads to the aggregation is based on intermolecular S-S bridge formation as a result of thiodisulphide exchange, stabilization can be achieved by modifying the sulphydryl radicals, lyophilizing from acid solutions, controlling the moisture content, using suitable additives and developing special polymer/matrix compositions.

The formulations of an IL-4 antagonist exhibiting delayed release also include IL-4 antagonists which are enclosed in liposomes. IL-4 antagonist-containing liposomes are prepared by methods which are known per se: DE 3,218,121; Epstein et al., Proc. Natl. Acad. Sci. USA, 82:3688-3692 (1985); Hwang et al., Proc. Natl. Acad. Sci. USA, 77:4030-4034 (1980); EP 52,322; EP 36,676; EP 88,046; EP 143,949; EP 142,641; Japanese Patent Application 83-118008; US Pat. Nos. 4,485,045 and 4,544,545; and also EP 102,324. As a rule, the liposomes are of the small (approximately 200-800 Angström) unilamellar type having a lipid content of greater than approximately 30 mol% cholesterol, with the proportion in each case being adjusted for the optimum IL-4 antagonists. Liposomes exhibiting an extended circulation time are disclosed in US Pat. No. 5,013,556.

A further application of the invention relates to the incorporation of IL-4 antagonists into "shaped articles". These latter may be employed for modulating or preventing the occurrence of a shock.

**Example 1****Removal of potential N-glycosylation sites in hIL-4 mutant proteins**

5 Two asparagine-coupled glycosylation sites are present at amino acid positions 38 and 105 in the natural hIL-4 amino acid sequence. The corresponding codons in the structural gene can be replaced with those for aspartic acid. This prevents N-glycosylation of the resulting hIL-4 mutant protein when its gene is expressed in yeast strains.

10

The two codon replacements (site-directed mutagenesis) in the structural gene for hIL-4 mutant proteins were carried out in accordance with the method of Deng and Nickoloff [Anal. Biochem. 200:81 (1992)] using the cloning vector pUC18. The synthetic oligonucleotides which were required for altering the structural gene had

15 the following sequences:

- a) for replacing asparagine with aspartic acid in position 38:  
5' - GCC TCC AAG GAC ACA ACT GAG -3'
- 20 b) for replacing asparagine with aspartic acid in position 105:  
5' - GTG AAG GAA GCC GAC CAG AGT ACG -3'.

The positions which are underlined in the given nucleotide sequences indicate the codons for aspartic acid.

25

The codon replacement in the nucleotide sequence was confirmed by DNA sequencing. The altered structural gene was inserted into yeast expression vectors and expressed in suitable strains.

30

**Example 2**

**Insertion of an amino acid in position (+ 2) for the purpose of preparing, in *E. coli*, an IL-4 mutein without an N-terminal methionine**

5

In order to prepare an IL-4 mutein which lacks the N-terminal methionine, an amino acid was inserted, in position (+ 2), which leads to the elimination of the N-terminal methionine, in *E. coli*, by means of a specific methionine aminopeptidase (Flinta et al., Eur. J. Biochem. 154, 193 - 196, 1986). For this, the vector RPR9-IL4-Y 124D (enclosure 1) was cut with the restriction endonucleases XhoI and BamHI. The resulting DNA fragment of approx. 450 bp in length, which carries the sequence information for the IL4Y124D gene and a short (approx. 50 bp) fragment from the atpE region of the vector, was purified by agarose gel electrophoresis and recloned into the vector M13mp18, which had been cut with SalI and BamHI. Single-stranded DNA was prepared and subjected to an in-vitro mutagenesis reaction using the following oligonucleotide:

5' CTGGAGACTGCCATGGCCACAAGTGCGATATCACC 3'.

As a result of this mutagenesis, the amino acid alanine (codon GCC) is introduced in position (+ 2) of the IL4Y124D gene. In addition, an NcoI cleavage site (CCATGG) is inserted at the 5' end of the gene in order to facilitate the subsequent screening and cloning into an expression vector. The plaques were screened by means of a restriction analysis using double-stranded M13 RF DNA (replicative form). Positive clones were identified by restriction digestion with the enzymes NcoI and BamHI. In addition, the correct sequence was confirmed by sequencing.

A DNA fragment of approx. 400 bp in length was excised with NcoI and BamHI from a selected M13mp18 clone, purified by means of agarose gel electrophoresis and cloned into the vector pTrc99A (commercially available from Pharmacia P-L Biochemicals), which had likewise been cut with NcoI and BamHI. *E. coli* cells (TG1) were transformed with the vector, pAPH100 (IL4Y125D), which resulted from this cloning and selected on ampicillin-containing nutrient medium. Expres-

sion of the protein, and its purification, resulted in an IL-4 mutein which lacked the N-terminal methionine.

### **Example 3:**

5

#### **Fermentation of the yeast cells**

#### **Nutrient solutions:**

- 10 The following nutrient solutions were used for culturing the yeast cells expressing hIL4 mutant proteins:

15

Ingredient	Nutrient solution	
	SD2	Sc6
Bacto yeast nitrogen base	6.7 g/l	-
Difco yeast extract	-	20.0 g/l
Glucose	20.0 g/l	5.0 g/l
KH <sub>2</sub> PO <sub>4</sub>	6.7 g/l	1.4 g/l
(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	-	2.0 g/l
20 MgSO <sub>4</sub> x 7 H <sub>2</sub> O	-	0.5 g/l
Antifoam PPG 2000	-	0.1 g/l

25

The ingredients were mixed in demineralized water and the pH was adjusted to 5.5. The mixture was sterilized at 121°C for 20 min. Glucose was dissolved in 1/5 of the requisite volume of demineralized water, with this solution being sterilized separately and then added to the remaining nutrient solution after cooling.

Strain stocks:

Strain stocks of all the yeast transformants were laid down by taking 2 ml aliquots of a preliminary culture and storing them in liquid nitrogen.

5

Preliminary cultures:

The preliminary culture fermentations were carried out in 1 ltr. shaking flasks which contained 200 ml of SD2 nutrient solution. The nutrient solution was inoculated with a strain stock or with a single colony from an SD2 agar plate. The cultures were incubated at 26-30°C for 2-3 days while being shaken continuously.

10

Main culture fermentations:

The main culture fermentations were carried out in Sc6 nutrient solution using 10 ltr. stirred tank fermenters. The nutrient solution was inoculated with 3-5% by vol. of a preliminary culture, with the biomass being centrifuged out of the preliminary culture and resuspended in Sc6 medium prior to the inoculation. The fermentation conditions for the 10 ltr. main culture were as follows:

20

Temperature	26-30°C
Stirrer revolution rate	600 rpm
Aeration rate	0.5 vvm
pH set point	5.5 (correction with 5 N NaOH and 5 N H <sub>2</sub> SO <sub>4</sub> )

25

From a fermentation time of 5 hrs. onwards, the cultures were fed continuously with glucose and yeast extract. The feeding rate was regulated on the basis of the respiratory quotient (RQ value) of the culture. The RQ set point was 1.0. The feed solution had the following composition:

30

08765012-121996



Glucose	500 g/l
Difco yeast extract	75 g/l

5 The constituents were dissolved separately in demineralized water and the solutions were sterilized at 121°C for 20 min. The two solutions were combined after having been cooled.

10 When the induced Gal10 promoter, or a derivative of the Gal10 promoter, was used, induction was effected by changing the carbohydrate in the feed solution from glucose (500 g/l) to galactose (500 g/l). After that, the feeding rate was no longer controlled on the basis of the RQ value. The feeding rate was adjusted manually to double the value of the feeding rate at the time of induction. The Gal10 promoter was normally induced after a fermentation period of about 48 hrs.

15 Cell harvesting:

20 After the fermentation had finished (80-120 hrs.), the contents of the fermenter were cooled down to 10-15°C and, in the case of intracellular expression, the yeast cells were harvested using standard centrifugation techniques (e.g. bucket centrifuge). The cell mass which was obtained after centrifugation was cryopelleted, by adding it directly dropwise to liquid nitrogen, and stored at -80°C. The product was worked up from the biomass which had been treated in this way. When the heterologous protein was secreted into the culture broth, the yeast cells were then separated from the culture broth using standard centrifugation techniques (e.g. bucket centrifuge) or by means of crosscurrent microfiltration (e.g. Filtron-Minisette system). If necessary, the culture broth was sterilized by filtration. The product was subsequently worked up from the cell-free culture broth.

**Example 4****Fermentation of *E. coli*****5    Nutrient solutions:**

The *E. coli* transformants expressing hIL-4 mutant proteins were cultured in LB nutrient solution of the following composition:

10	Bacto tryptone	10 g/l
	Bacto yeast extract	5 g/l
	NaCl	10 g/l

- 15    The constituents were dissolved in deionized water and this solution was sterilized at 121°C for 20 min. Prior to inoculation, an antibiotic which was suitable for selecting the transformants (e.g. 100 mg/l Na ampicillin or 50 mg/l kanamycin sulphate depending on the selection marker used in the vector) was added to the nutrient solution under sterile conditions.

20

**Strain stocks:**

Strain stocks of all the *E. coli* transformants were laid down by taking 2 ml aliquots of a preliminary culture and storing them in liquid nitrogen.

25

**Preliminary cultures:**

- 30    The preliminary culture fermentations were carried out in 1 ltr. shaking flasks which contained 200 ml of LB nutrient solution. The nutrient solution was inoculated with a strain stock or with a single colony from an LB agar plate. The cultures were incubated at 30°C for 12 - 18 hrs. while being shaken continuously.

Main culture fermentations:

The main culture fermentations were carried out in LB nutrient solution using 10 ltr. stirred tank fermenters. The nutrient solution was inoculated with 1-5% by vol. of a preliminary culture, with the biomass being centrifuged out of the preliminary culture and resuspended in fresh LB medium prior to the inoculation. The fermentation conditions for the 10 ltr. main culture were as follows:

10	Starting temperature	30°C (when using temperature-inducible promoters) 37°C (when using IPTG-inducible vectors)
	Stirrer revolution rate	500 rpm
	Aeration rate	0.5 vvm
15	In order to monitor the growth of the biomass, sterile samples were removed from the culture broth at intervals of approx. 1 hr. and their optical density was determined at 600 nm (OD600). The cultures were induced when an OD600 of 0.8-1.2 had been reached. Induction took place as follows, depending on the promoter which had been selected:	
20	Temperature induction:	Increase of the fermentation temperature from 30°C to 42°C
	IPTG induction:	Sterile addition of isopropyl-β-D-thiogalactopyranoside (IPTG) to a concentration of 0.4 mM
25		

The induction time was typically 4 - 8 hrs.

Cell harvesting:

30

After the fermentation had finished (6 - 14 hrs.), the contents of the fermenter were cooled down to 10-15°C and the bacterial cells were harvested using standard

centrifugation techniques (e.g. bucket centrifuge). The cell mass which was obtained after centrifugation was temporarily stored, where appropriate, in the frozen state. The product was worked up from the biomass which had been obtained in this way.

5

### **Example 5**

#### **Expression of interleukin 4 mutant proteins in yeast cells using constitutive promoters**

10

Yeast transformants which harboured an expression vector containing a gene encoding an hIL-4 mutant protein and a constitutive promoter (e.g. alpha mating factor promoter, GAPDH promoter or TPI promoter) were cultured at 28°C on a 10 ltr. scale. During the fermentation, SDS-PAGE was used for testing qualitatively for expression of the hIL-4 mutant protein. The total fermentation time was 96 hrs. The biomass concentration achieved at the end of the fermentation was 27 g of dry weight/l. After the cells had been separated off by centrifugation (15 min, 6,500 x g, 4°C), and after sterilization by filtration, the product was worked up from the cell-free culture broth.

20

### **Example 6**

#### **Expression of interleukin 4 mutant proteins in yeast cells using inducible promoters**

Yeast transformants which harboured an expression vector containing a gene encoding an hIL-4 mutant protein and an inducible promoter (e.g. Gal10 promoter or a derivative of a Gal10 promoter) were cultured at 28°C on a 10 ltr. scale. After a fermentation period of 48 hrs., induction was effected by changing the carbohydrate used in the feed solution from glucose to galactose. During the fermentation, SDS-PAGE was used for testing qualitatively for expression of the hIL-4 mutant protein. The total fermentation time was 96 hrs. The biomass concentration which was achieved at the end of the fermentation was 24 g of dry

weight/l. After the cells had been separated off, and after sterilization by filtration, the product was worked up from the cell-free culture broth.

Other inducible promoters can also be employed, in analogy with this process, for  
5 expressing hIL-4 mutant proteins. A suitable induction technique has to be employed which depends on the nature of the promoter which is chosen.

### Example 7

#### 10 **Expression of interleukin 4 mutant proteins in E. coli using inducible promoters**

E. coli transformants which harboured an expression vector containing a gene  
encoding an hIL-4 mutant protein and a temperature-inducible promoter (e.g.  $\lambda$ pL  
promoter or a derivative of the  $\lambda$ pL promoter) were cultured in LB nutrient  
15 solution on a 10 ltr. scale. The vector-containing cells were selected by adding  
100 mg/l Na ampicillin to the LB nutrient solution (= LB+Amp nutrient solution).  
The main culture batches were inoculated with 5% by vol. of a 14 hr-old  
preliminary culture in LB+Amp nutrient solution. At the beginning of the  
fermentation, the fermentation temperature was 30°C and was raised to 42°C after  
20 an OD600 of 0.8 - 1.2 had been reached in order to induce the temperature-  
sensitive promoter. During the fermentation, SDS-PAGE was used for testing  
qualitatively for expression of the hIL-4 mutant protein. After an induction period  
of 4 - 6 hrs., the fermentation was terminated by cooling the culture broth down  
to 10-15°C. The biomass concentration which was achieved at the end of the  
25 fermentation was approx. 5 g of fresh weight/l. The E. coli cells were harvested by  
centrifugation in a bucket centrifuge (15 min., 6,500 x g, 4°C) and the cell mass  
was cryopelleted by directly adding it dropwise to liquid nitrogen. The biomass  
which had been deep-frozen in this way was then stored at -80°C. The product  
was worked up from the biomass which had been treated in this way.

30

Other inducible promoters may also be employed, in analogy with this process, for  
expressing hIL-4 mutant proteins in E. coli. A suitable induction technique has to

be used which depends on the nature of the promoter which is chosen.

### Example 8

#### 5 **Working up of an IL-4 mutant protein**

##### Cell disruption and isolation of the inclusion bodies

25 g of E. coli moist mass from Example 7 were taken up in 200 ml of buffer  
10 (0.1 M phosphate buffer, pH 7.3, 0.1% Triton, 1 mM EDTA, 1 µg/ml pepstatin)  
and disrupted by sonication (Branson B 15 sonifier). The inclusion bodies, which  
contain the product, were isolated by centrifugation (35,000 x g, 20 min) and  
washed in disruption buffer which additionally contained 4 M urea.

##### 15 Solubilization and sulphitolysis of the product

The washed inclusion bodies were solubilized in 125 ml of buffer (0.2 M Tris, pH  
8.1, 8 M guanidine hydrochloride). 4 g of sodium sulphite and 2 g of potassium  
tetrathionate were added and the reaction mixture was stirred for 2 h. Undissolved  
20 constituents were removed by centrifugation (35,000 x g, 20 min) after the reaction  
had finished.

##### Gel filtration

25 The supernatant was loaded on to a gel filtration column (Sephacryl S-300 HR,  
Pharmacia, 10 x 90 cm) and subjected to gel filtration in PBS buffer containing  
6 M guanidine hydrochloride at a flow rate of 280 ml/h. Product-containing  
fractions were identified by means of SDS-PAGE and combined.

##### 30 Renaturation

β-Mercaptoethanol (final concentration 15 mM) was added in order to reduce the

molecules. Following a two-hour incubation at room temperature, the mixture was diluted 5 times with water and dialyzed against buffer (3 mM  $\text{NaH}_2\text{PO}_4$ , 7 mM  $\text{Na}_2\text{HPO}_4$ , 2 mM KCl, 120 mM NaCl) for 3-4 days.

## 5 Concentration

The dialyzed material was adjusted to pH 5 with acetic acid and its conductivity was decreased to  $\leq 10$  mS/cm by adding water. 50 ml of CM Sepharose-FF (Pharmacia), which was equilibrated with 25 mM ammonium acetate, pH 5.0, were added to the mixture while stirring. Unbound material was filtered off and the gel was used to fill a column. The product was eluted with a linear gradient of from 0 to 1 M NaCl in 25 mM ammonium acetate, pH 5.0, at a flow rate of 300 ml/h. Product-containing fractions were identified by SDS-PAGE or by analytical RP chromatography.

15

## Final purification

The pool of CM sepharose was loaded on to a Vydac C-4 column (1 x 25 cm, 10  $\mu\text{m}$ ) which was equilibrated with 0.1% TFA and eluted with an increasing gradient of acetonitrile. Fractions which contained the pure product were combined and lyophilized.

20

08765042-121996



SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT:

- 5 (A) NAME: Bayer AG  
(B) STREET: Bayerwerk  
(C) CITY: Leverkusen  
(E) COUNTRY: Germany  
(F) POSTAL CODE: D-51368  
10 (G) TELEPHONE: 0214/3061455  
(H) TELEFAX: 0214/303482

- (ii) TITLE OF APPLICATION: Novel hIL-4 mutant proteins as  
antagonists or partial agonists of human interleukin 4

15

- (iii) NUMBER OF SEQUENCES: 5

(iv) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk  
20 (B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO)

(2) INFORMATION FOR SEQ ID NO: 1:

- 25 (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 16 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

30

- (ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: no

(iii) ANTISENSE: no

5 (vi) ORIGINAL SOURCE:  
(C) INDIVIDUAL/ISOLATE: synthetic

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

10 CATGCACAAG TGCGAT

16

(2) INFORMATION FOR SEQ ID NO: 2:

(i) SEQUENCE CHARACTERISTICS:

15 (A) LENGTH: 12 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

20 (ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: no

(iii) ANTISENSE: no

25

(vi) ORIGINAL SOURCE:  
(C) INDIVIDUAL/ISOLATE: synthetic

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

30

ATCGCACTTG TG

12

0376501-1499  
366T3T-2F059Z9D

(2) INFORMATION FOR SEQ ID NO: 3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 21 base pairs

5 (B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

10

(iii) HYPOTHETICAL: no

(iii) ANTISENSE: no

15 (vi) ORIGINAL SOURCE:

(C) INDIVIDUAL/ISOLATE: synthetic

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

20 GCCTCCAAGG ACACAACTGA G

21

(2) INFORMATION FOR SEQ ID NO: 4:

(i) SEQUENCE CHARACTERISTICS:

25 (A) LENGTH: 24 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

30 (ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: no

(iii) ANTISENSE: no

(vi) ORIGINAL SOURCE:

(C) INDIVIDUAL/ISOLATE: synthetic

5

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

GTGAAGGAAG CCGACCAGAG TACG

24

10 (2) INFORMATION FOR SEQ ID NO: 5:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 36 base pairs

(B) TYPE: nucleic acid

15

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

20

(iii) HYPOTHETICAL: no

(iii) ANTISENSE: no

(vi) ORIGINAL SOURCE:

25

(C) INDIVIDUAL/ISOLATE: synthetic

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

CTGGAGACTG CCATGGCCCA CAAGTGCGAT ATCACC

36

30

08765042-12496  
08765042-12496

**Patent claims**

1. Human interleukin 4 mutant proteins as antagonists or partial agonists of human interleukin 4, characterized in that, in addition to replacements at positions 121, 124 or 125, modifications are also present at the N terminus and/or
- 5 C terminus, and/or in that potential glycosylation sites are deleted from the molecule and/or in that the mutant protein is coupled to a non-protein polymer.
2. Medicaments which comprise the substances according to Claim 1.

08765042-434996

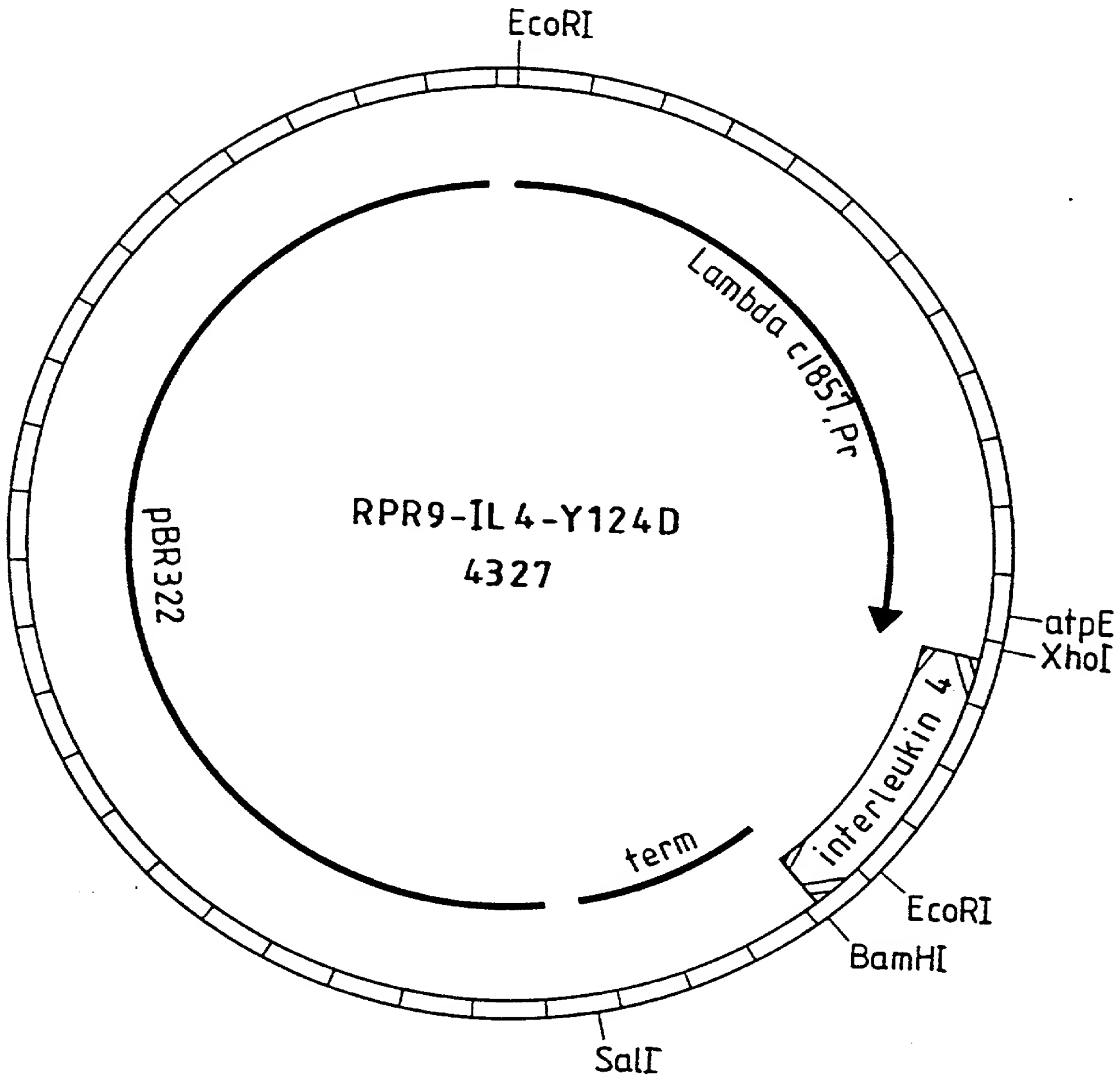
Novel hIL-4 mutant proteins as antagonists or partial agonists of human interleukin 4

Abstract

The present invention relates to novel hIL-4 mutant proteins, to processes for preparing them, and to their use as medicaments, in particular in overshooting, falsely regulated immune reactions and autoimmune diseases.

08765012 494995

Fig. 1



09765042-121906



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**"NEW hIL-4 MUTANT PROTEINS USED AS ANTAGONISTS OR PARTIAL AGONISTS OF HUMAN INTERLEUKIN 4"**

the specification of which is attached hereto,

or was filed on June 19, 1995

as a PCT Application Serial No. PCT /EP95/02358

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose to the Office all Information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s), the priority(ies) of which is/are to be claimed:

P 44 23 131.8  
(Number)

Germany  
(Country)

July 01, 1994  
(Month/Day/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

00765012-134996

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Arnold Sprung, Reg. No. 17,232; Nathaniel D. Kramer, Reg. No. 25,350; Ira J. Schaefer, Reg. No. 26, 802 and Alan J. Grant, Reg. No. 33,389 of 120 White Plains Road, Tarrytown, New York 10591; Kurt G. Briscoe, Reg. No. 33,141; William C. Gerstenzang, Reg. No. 27,552; and Mark W. Russel, Reg. No. 37,514; of 660 White Plains Road, 4th Floor, Tarrytown, New York 10591-5144, with full power of substitution and revocation.

Send Correspondence To: <u>SPRUNG HORN KRAMER &amp; WOODS</u>  <u>660 White Plains Road, 4th Floor</u> <u>Tarrytown, New York 10591-5144</u>	Direct Telephone Calls To:  (914) 332-1700
--	--

FULL NAME OF SOLE OR FIRST INVENTOR <u>Hanno Wild</u> 1-00	INVENTOR'S SIGNATURE <u>Hanno Wild</u>	DATE 11/20/96
RESIDENCE D 42111 <u>Wuppertal</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF SECOND INVENTOR <u>Rudolf Hanko</u> 2-00	INVENTOR'S SIGNATURE <u>Rudolf Hanko</u>	DATE 11/19/96
RESIDENCE D 40237 <u>Düsseldorf</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF THIRD INVENTOR <u>Michael Dörschug</u> 3-00	INVENTOR'S SIGNATURE <u>Michael Dörschug</u>	DATE 11/25/96
RESIDENCE D 42579 <u>Heiligenhaus</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF FOURTH INVENTOR <u>Hans-Dietrich Hörlein</u> 4-00	INVENTOR'S SIGNATURE <u>Hans-Dietrich Hörlein</u>	DATE 11/26/96
RESIDENCE D 42113 <u>Wuppertal</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF FIFTH INVENTOR <u>Jürgen Beunink</u> 5-00	INVENTOR'S SIGNATURE <u>Jürgen Beunink</u>	DATE 11/27/96
RESIDENCE D 42329 <u>Wuppertal</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF SIXTH INVENTOR <u>Heiner Apeler</u> 6-00	INVENTOR'S SIGNATURE <u>Heiner Apeler</u>	DATE 11/25/96
RESIDENCE D 42115 <u>Wuppertal</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF SEVENTH INVENTOR <u>Hermann Wehlmann</u> 7-00	INVENTOR'S SIGNATURE <u>Hermann Wehlmann</u>	DATE 11/26/96
RESIDENCE D 42349 <u>Wuppertal</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS c/o Bayer Aktiengesellschaft, D 51368 Leverkusen, Germany		
FULL NAME OF EIGHTH INVENTOR <u>Walter Sebald</u> 8-00	INVENTOR'S SIGNATURE <u>Walter Sebald</u>	DATE 11/22/96
RESIDENCE D 97074 <u>Würzburg</u> , Germany DEX	CITIZENSHIP German	
POST OFFICE ADDRESS Meyer-Olbersleben-Strasse 7, D 97074 Würzburg, Germany		